

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	09/928,833
Filing Date	August 14, 2001
First Named Inventor	Fumio MATSUI et al.
Group Art Unit	2651
Examiner Name	
Attorney Docket Number	MATSUI-5

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
AL	AI	4,686,143		YOSHIKAWA et al.	08-11-1987	430/270.16

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number				
AL	AJ	GB	2 329 751	A	FUJI ELECTRIC CO LTD	03-31-1999	
AL	AK	EP	0 881 636	A1	MITSUI CHEMICALS, INC.	12-02-1998	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
AL	AL	PATENT ABSTRACTS OF JAPAN: European Patent Office; Publication Number: 05038878; Publication date: 02-19-1993; Applicant: NIPPON COLUMBIA CO LTD.; Title: "Light Recording Medium"	
AL	AM	PATENT ABSTRACTS OF JAPAN: European Patent Office; Publication Number: 10151854; Publication date: 06-09-1998; Applicant: MITSUI CHEM INC.; Title: "Optical Recording Medium"	
AL	AN	PATENT ABSTRACTS OF JAPAN: European Patent Office; Publication Number: 11034497; Publication date: 02-09-1999; Applicant: NIPPON KANKO SHIKISO; Title: "Optical Recording Medium"	
AL	AO	PATENT ABSTRACTS OF JAPAN: European Patent Office; Publication Number: 08156408; Publication date: 06-18-1996; Applicant: MITSUI TOATSU CHEM INC; Title: "Optical Recording Medium"	
AL	AP	PATENT ABSTRACTS OF JAPAN: European Patent Office; Publication Number: 10181206; Publication date: 07-07-1998; Applicant: RICOH CO LTD.; Title: "Optical Recording Medium"	

Examiner Signature	<i>[Signature]</i>	Date Considered	6/9/03
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>First Named Inventor</b>	F. MATSUI et al.
<b>Group Art Unit</b>	2651
<b>Confirmation No.</b>	8102
<b>Attorney Docket Number</b>	MATSUI-1

8,833  
st 14, 2002  
TSUI et al  
MAR 04 2002  
IC 1100

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
aw	AA	4,551,413		V. L. Bell	11/8/1985	430/945
He	AB	4,730,902		Y. Suzuki et al	3/16/1988	250/311
dy	AC	4,847,385		S. Kusakata et al	7/1/1989	428/167
He	AD	5,093,492		M. Acker et al	3/3/1992	430/945
He	AE	5,670,295		K. Namba et al	9/26/1997	RECEIVED 400/2013
						FEB 27 2002
						Technology Center 2600

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

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